

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JC997 U.S. PTO
10/043053
01/08/02

In Re Application of:	§	Attorney Docket No. BLD920000034US2
	§	
GERALD WALTER BAUMANN, ET AL.	§	Examiner: UNKNOWN
	§	
Serial No. UNKNOWN	§	
	§	
Filed: FILED HEREWITH	§	Group No. UNKNOWN
	§	
For: LOAD BALANCING AND	§	
DYNAMIC CONTROL OF MULTIPLE	§	
DATA STREAMS IN A NETWORK	§	

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D. C. 20231

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above-entitled application, pursuant to 37 C.F.R. 1.97. This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) within three months of the filing date of the application, or before the mailing date of a first office action on the merits. No fee or certification is required.

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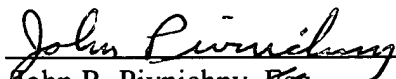
I hereby certify that this correspondence is being deposited with the United States Postal Service under 37 CFR §1.10 on the date indicated above and are addressed to Box Patent Applications, Assistant Commissioner of Patents, Washington, D. C. 20231 and mailed on the above Date of Mailing with the above "Express Mail" mailing label number.

June M. Mitchell
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June M. Mitchell
SIGNATURE of person mailing paper or fee

No fees are believed to be necessary. Please charge any fees that may be necessary for prosecution of the present application to IBM Corporation Deposit Account No. 09-0457.

Respectfully submitted,

A handwritten signature in cursive script, reading "John R. Pivnichny", is written over a horizontal line.

John R. Pivnichny, ~~Esq.~~

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Substitute form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT****Complete if Known**

Application Number	Unknown
Filing Date	Herewith
First Named Inventor	Gerald Walter Baumann, et al.
Group Art Unit	Unknown
Examiner Name	Unknown

Sheet	1	of	1	Attorney Docket Number	BLD920000034US2
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U.S. PATENT DOCUMENTS

Examiner Initials	IDS Doc #	U.S. Patent Document Number	Name of Patentee or Applicant	Date of Publication MM-DD-YYYY
	AA	5,559,798	Kenneth L. Clarkson, et al.	09/24/1996
	AB	5,706,281	Shin-ichi Hashimoto	01/06/1998
	AC	5,859,853	David Glenn Carlson	01/12/1999
	AD	6,023,475	Masahiko Tanaka, et al.	02/08/2000

FOREIGN PATENT DOCUMENTS

Examiner Initials	IDS Doc #	Office	Foreign Patent Document Number	Name of Patentee or Applicant	Date of Publication	English Translation
	AE	WO	97/44942	PCT	11/27/1997	Yes
	AF	EP	0924902A2	EPO	06/23/1999	Yes

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials	IDS Doc #	Include the name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	English Translation
	AG	IBM Technical Disclosure Bulletin, Dynamic Computation of TCP Maximum Window size for Directly Connected Hosts, Vol. 37, No. 04A, April 1994, pp. 601-607.	Yes
	AH	Enabling High Performance Data Transfers on Hosts: (Notes for Users and System Administrators), February 17, 2000, http://www.psc.edu/networking/perf_tune.html , pp. 1-18.	Yes
	AI	Application Serial No. 09/644,494, Internet Backbone Bandwidth Enhancement, Gerald W. Baumann, et al., Filed August 23, 2000, pp. 1-39, with six (6) pages of drawings. (Parent Application of present Continuing Application)	Yes

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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